

representing the public and private  
academic and research libraries of New York State

**NYSHEI**

**2007-2008  
ANNUAL REPORT**

**Mission of NYSHEI**

*To develop, enhance and preserve our research and educational services, collections and resources for the benefit of faculty, students and the larger research community.*



## **Year in Review**

During the past year NYSHEI emerged as a legitimate and respected advocacy organization and the premier voice of the academic and research library community of the state of New York.

By every measure, 2007-2008 was a successful year for NYSHEI.

Today, our organization is larger, more active and more influential than ever before. NYSHEI is also closer to achieving a major goal of establishing an information infrastructure that benefits all member institutions and the state at-large.

There are two factors responsible for this success - a committed Board and an active membership.

The NYSHEI Board must be credited for maintaining and redoubling their voluntary commitment to the mission of NYSHEI, sharpening their strategy for advocacy and organizational success.

Equally critical has been the exponential growth in participation from NYSHEI member institutions and the librarians of those institutions. Through letter writing, meeting attendance, electronic advocacy, and so many other ways, the NYSHEI membership has become actively engaged in supporting and furthering the goals of the organization.

Greater success is now possible for NYSHEI - much to the benefit of all its members. The foundation has been set. Now is the time to build upon it.

## Report of the 2006-2007 Chair

As Chair of the Governing Board, I am pleased to help NYSHEI celebrate its sixth anniversary with a renewed sense of mission and vision.

NYSHEI is a membership organization of the SUNY and CUNY public institutions, the major ARL institutions and the large, medium and small private college and university libraries in New York State. We seek partnerships with other institutions with academic and research interests, as recognized by the addition of new "Associate Member" category for research organizations like the New York Academy of Medicine and the Museum of Natural History. Our Executive Director, Jason Kramer, has reached out to the members and increased communication through a more interactive and informational web site, e-news messages to members, visits to individual institutions and regional meetings about new developments.

We are looking for new ways to involve members in NYSHEI. We welcome representatives to serve on the Governing Board and NYSHEI committees. We encourage members to share their comments through NYSHEI blogs and surveys. We implore our diverse members to assist us in our efforts to secure state funding of research databases through contacts with their associations and their legislators.

NYSHEI's number one priority since last year has been serving as a members' advocate in Albany. Our Executive Director regularly monitors the legislative and budgetary developments in Albany and reports them out to the members. NYSHEI was one of the first to be in communication with the Commission of Higher Education, resulting in an invitation to present testimony at three Commission

hearings and ultimately to a Commission recommendation to the State to fund the ARIA proposal.

NYSHEI's top legislative agenda is to obtain state funding of high-end research databases to support academic scholarship in science, technology and medicine and research by small business enterprises. The ARIA bill in draft form has received very positive response, with numerous legislative sponsors and institutional endorsements from the public and private sector. The Assembly Economic Development and Higher Education Committee Chairs favored its inclusion in the state budget. Unfortunately, it did not make it through the final stages of budget preparation for this year. For the next session, we will start early to re-introduce the bill and to work with Jason to publicize its benefits for all academic institutions, public and private and big and small. Once again, we will call upon your active support and advocacy.

Thank you for giving me the great privilege to serve as the Chair of the NYSHEI Governing Board. I assure you that the Board and Executive Director worked diligently on your behalf. I leave the Board in the able hands of Jason Kramer, Executive Director, and Chris Filstrup, incoming Chair of the Governing Board. We welcome your comments and suggestions.

A handwritten signature in cursive script that reads "Gary B. Thompson".

Gary B. Thompson  
Director of Library and Audiovisual  
Services  
Siena College

## **Membership Report**

2007-2008 was a year marked by renewed growth in membership for NYSHEI. This growth enhances the prestige and reach of our organization.

Two significant new Associate Members are the American Museum of Natural History and the New York Academy of Medicine.

Re-joining NYSHEI after a membership lapse were (in no particular order), the United States Military Academy at West Point, Iona College, Marist College, Polytechnic University, New York Institute of Technology and Alfred University.

The full membership of NYSHEI is listed at the end of this report.

NYSHEI has also built a network of active supporters from among its member institutions and allied organizations.

As a direct result, hundreds of letters, phone calls and e-mails have been sent from around the state to policy makers. This allows NYSHEI to both amplify and localize its message thereby improving our ability to reach targeted policy makers.

## Financial Report

With 97 percent of its income derived from membership dues, NYSHEI took in \$187,943 during FY07. The increase was a result of a return to the set dues structure after a temporary reduction during the 2006-2007 reorganization, and the addition of new members.

Income outpaced expenses by \$12,320, as organizational expenses remained below the 2004-2005 levels. Proceeds were placed in the NYSHEI reserve and contribute to the long-term fiscal stability of NYSHEI. Fiscal 2007-08 marks the sixth consecutive year that expenses were kept below the level of income.

Of the total expenses, 23 percent were paid to the Research Foundation of SUNY and Nylink in return for administrative, human resources, accounting, and legal support, as stipulated in a tri-partite memorandum of understanding dating back to 2004.

Remaining expenses covered staff, travel and other administrative & operational costs to publicize NYSHEI activities and promote its visibility.

## Elections Report

The SUNY Council of Library Directors elected **Constantia Constantinou**, Library Director of SUNY Maritime to serve a full term as one of the three SUNY representatives on the NYSHEI Board. The seat won by Ms. Constantinou was formerly held by **Peter Genovese**.

**Pamela McLaughlin**, Director of Communications and External Relations from Syracuse University was elected to the board. Ms. McLaughlin was initially appointed to fill the vacancy left of the departing **William Garrison**, and will represent the Very Large Category of NYSHEI private institutions..

From Utica College, Library Director **Beverly Marcoline** was elected to represent the Small/Medium Category of private institutions. Ms. Marcoline fills the seat of the departing **Gary Thomspson**.

As **Chris Filstrup** of Stony Brook University assumes the responsibilities of NYSHEI Board Chair, **Pamela McLaughlin** of Syracuse University has been named Board Chair for 2009-2010.

## Annual Meeting Report

The 6<sup>th</sup> Annual Meeting of the New York State Higher Education Initiative was held at Syracuse University on June 2, 2008.

Event Sponsors and levels of support:

Thomson Reuters- Platinum

Elsevier - Platinum

EBSCO - Silver

Blackwell Publishing - Bronze

CSA ProQuest - Bronze

Yankee Publishing - Friend

**Karen DeJarnette**, Director of Workforce Development for Empire State Development, gave the Keynote Address.

Other speakers were **E.J. McMahon** of the Manhattan Institute, **Dr. Bruce Kingma** of Syracuse University, **Chuck Caples** of the Continuing Education Association of New York, **David Schaffer** of the Rockefeller Institute and **Assemblyman Jack Quinn**.

Other notable occurrences from the event.

- Attendees participated in facilitated networking intended to begin working conversation in key issue areas of concern to NYSHEI.
- Departing Board members were recognized with service mementoes.
- Podcast recordings were made of the presentations and provided on the NYSHEI website.

## Advocacy Report

With advocacy as a top priority in 2007-2008, NYSHEI experienced notable achievements:

ARIA (Academic Research Information Access) proposal developed. ARIA calls for the appropriation of state dollars to secure shared contracts and licenses for scientific, technical and medical research resources. When realized, ARIA will expand member library collections while reducing costs to those members.

ARIA in NYS Assembly and Senate. ARIA has majority sponsorship in both houses of the legislature. Included among the sponsoring members are the chairs of the respective committees on economic development, higher education, and libraries and information technology. In the legislature ARIA is known by the number A.9672/S.6741.

ARIA has progressed through committee with unanimous bipartisan support and now awaits a vote in the fiscal committees – the final stop before put to a full vote.

ARIA endorsed by Rawlings Commission. The Governor's Commission on Higher Education, chaired by Hunter Rawlings, endorsed the central components of ARIA in its preliminary report. The report also credited NYSHEI advocacy for raising the issue of the importance of expanding information sources, and confronting their increasing costs with state dollars.

Collaboration Improving. NYSHEI has taken significant steps to coordinate its advocacy efforts with those of essential partners, including SUNY System Administration and the Commission on Independent Colleges and Universities (cIcu), among others. NYSHEI also joined

the state Business Council to build additional collaborative relationships.

Awareness Increasing. NYSHEI testified at several legislative hearings, took published positions on legislation and the state budget, and met one-on-one with state policy makers and influential advocacy organizations. The result is unmeasurable but significant growth in awareness of the importance of academic and research libraries in academic excellence and economic development.



## Events Report

In the past year there have been NYSHEI meetings at 18 different libraries.

NYSHEI has also participated in 10 meetings and/or conferences of related groups, the statewide "I Live NY" conference, policy conference calls with Executive Office staff, and given testimony at five public hearings.

NYSHEI also held a seminar at the University at Albany with Elsevier to explore the challenges and opportunities of the globalization of research.

## 2007-2008 NYSHEI Board

### CUNY Representatives

- Curtis Kendrick, University Librarian, CUNY
- Louise Sherby, Chief Librarian, Hunter College

### SUNY Representatives

- Chris Filstrup, Dean and Director of Libraries, Stony Brook University and Board Chair-Elect
- Peter Genovese, Director of Libraries, Monroe Community College
- Carey Hatch, Assistant Provost for Library and Information Services, SUNY

### Private Institution Representatives

#### Very Large Category

- Pamela McLaughlin, Director of Communications and External Relations, Syracuse University

#### Large Category

- Bart Harloe, University Librarian, St. Lawrence University
- Pearl Berger, Dean of Libraries, Yeshiva University

#### Medium/Small Category

- Rhonna Goodman, Library Director, Manhattanville College
- Sha Fagan, Director of the Library, Sarah Lawrence College
- Gary Thompson, Director of the Library, Siena College and Board Chair

### Ex-officio Members of the Board

- Loretta Ebert, Research Library Director, New York State Library
- David Penniman, Executive Director, Nylink
- Jason Kramer, Executive Director, NYSHEI



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[www.nyshei.org](http://www.nyshei.org)

2006-2007 NYSHEI Membership

Adelphi University • Adirondack Community College • Albany College of Pharmacy • Albany Medical College • Alfred University • American Museum of Natural History • Bank Street College of Education • Bard College • Baruch College - CUNY • Berkley College • Binghamton University • Borough of Manhattan Community College • Bronx Community College - CUNY • Brooklyn College - CUNY • Broome Community College • Canisius College • Cayuga County Community College • City College of New York - CUNY • City University of New York-Graduate Center • Clarkson University • Clinton Community College • Colgate University • College of St. Rose • College of Staten Island - CUNY • Columbia University • Columbia-Greene Community College • Cornell University • Corning Community College • CUNY Law School/Queens College • Dowling College • Dutchess Community College • Erie Community College City Campus • Farmingdale State University of New York • Fashion Institute of Technology • Finger Lakes Community College • Fordham University • Fulton Montgomery Community College • Genesee Community College • Hamilton College • Herkimer County Community College • Hilbert College • Hobart & William Smith College • Hofstra University • Hostos Community College - CUNY • Hudson Valley Community College • Hunter College - CUNY • Iona College • Jamestown Community College • Jefferson Community College • John Jay College of Criminal Justice • Kingsborough Community College - CUNY • LaGuardia Community College • Lehman College - CUNY • LeMoyne College • Long Island University • Manhattan College • Manhattanville College • Marist College • Medgar Evers College - CUNY • Mohawk Valley Community College • Molloy College • Monroe Community College • Mt St Mary College • Mt. Sinai School of Medicine • Nassau Community College • New School University • New York Academy of Medicine • New York City Technical College - CUNY • New York Institute of Technology • New York Medical College • New York Public Library • New York State Library • New York University • Niagara County Community College • North Country Community College • NYS College of Ceramics at Alfred University • Onondaga Community College • Orange County Community College • Plattsburgh State University of New York • Polytechnic University • Queens College - CUNY • Queensborough Community College - CUNY • Rensselaer Polytechnic Institute • Rochester Institute of Technology • Rockefeller University • Rockland Community College • Sarah Lawrence College • Schenectady County Community College • School of Visual Arts • Siena College • Skidmore College • St. John Fisher College • St. Lawrence University • Suffolk County Community College • Sullivan County Community College • SUNY Ag. & Tech. College at Cobleskill • SUNY Ag. & Tech. College at Morrisville • SUNY at Stony Brook • SUNY College at Brockport • SUNY College at Buffalo • SUNY College at Cortland • SUNY College at Fredonia • SUNY College at Genesee • SUNY College at New Paltz • SUNY College at Old Westbury • SUNY College at Oneonta • SUNY College at Oswego • SUNY College at Potsdam • SUNY College at Purchase • SUNY College of Env. Science & Forestry • SUNY College of Optometry • SUNY College of Technology at Alfred • SUNY College of Technology at Canton • SUNY College of Technology at Delhi • SUNY Downstate Medical Center • SUNY Empire State College • SUNY Institute of Technology Utica/Rome • SUNY Maritime College • SUNY Upstate Medical University • Syracuse University • Teachers College, Columbia University • Tompkins-Cortland Community College • Ulster County Community College • Union College • University at Albany • University at Buffalo • US Military Academy at West Point • Utica College • Vassar College • Vaughn College • Wadsworth Center • Wells College • Westchester Community College • Yeshiva University • York College - CUNY